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## Technology Center 2100

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/872,287
Filing Date	May 31, 2001
First Named Inventor	Jong-Won Kim
Group Art Unit	
Examiner Name	
Attorney Docket No.	300055.499

## ENCLOSURES (check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Assignment Papers<br>(for an Application)         | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing<br>Receipt | <input type="checkbox"/> CD(s), Number<br>of CD(s) _____   |
| <input type="checkbox"/> Fee Attached <input type="checkbox"/> Licensing-related Papers                                  | <input type="checkbox"/> Petition <input type="checkbox"/> After Allowance<br>Communication to Group | <input type="checkbox"/> Appeal Communication to<br>Board of Appeals and<br>Interferences        |
| <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Petition to Convert to a<br>Provisional Application | <input type="checkbox"/> Power of Attorney,<br>Revocation, Change of<br>Correspondence Address       | <input type="checkbox"/> Appeal Communication to<br>Group (Appeal Notice, Brief,<br>Reply Brief) |
| <input type="checkbox"/> After Final <input type="checkbox"/> Declaration  | <input type="checkbox"/> Status Letter   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Statement under 37 CFR<br>3.73(b)            | <input type="checkbox"/> Return Receipt Postcard   | <input type="checkbox"/> Additional Enclosure(s)<br>(please identify below):                     |
| <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Terminal Disclaimer                          | <input type="checkbox"/> Small Entity Statement  | <hr/> <hr/> <hr/> <hr/>  |
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| <input checked="" type="checkbox"/> Information Disclosure<br>Statement; Form PTO-1449                                   |  |  |
| <input checked="" type="checkbox"/> Cited References   |  |  |
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| <input type="checkbox"/> Response to Missing Parts<br>under 37 C.F.R. 1.52 or 1.53                                       |  |  |
| <input type="checkbox"/> Response to Missing<br>Parts/Incomplete Application   |  |  |

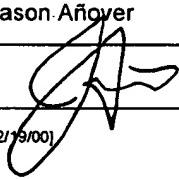
## Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	E. Russell Tarleton	 00500 <small>PATENT TRADEMARK OFFICE</small>
Signature		
Date	August 16, 2001	

## CERTIFICATE OF MAILING

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#3

## PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

Applicants : Jong-Won Kim et al.  
Application No. : 09/872,287  
Filed : May 31, 2001  
For : MODULAR-TYPE HOME GATEWAY SYSTEM INCLUDING  
ADSL CONTROLLER AND HOMEPNA CONTROLLER

Docket No. : 300055.499  
Date : August 16, 2001

Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Jong-Won Kim et al.  
Seed Intellectual Property Law Group PLLC

E. Russell Tarleton  
E. Russell Tarleton  
Registration No. 31,800

Enclosures:

Postcard  
Form PTO-1449  
Cited References (1)

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FORM PTO-1440  
(REV.7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
300055.499APPLICATION NO.  
09/872,287APPLICANTS  
Jong-Won KimFILING DATE  
May 31, 2001

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,005,861	12/21/99	Humbleman	370	352	
	AB						
	AC						
	AD						
	AE						RECEIVED
	AF						AUG 22 2001
	AG						Technology Center 2100
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
					YES      NO
	AK				
	AL				
	AM				
	AN				
	AO				

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP		
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EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).